



RECEIVED

JUL 12 2001

Technology Center 2100

PTO/SB/21 (6-98)

Please type a plus sign (+) inside this box → +

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09.755, 498
Filing Date	MAY 15, 2001
First Named Inventor	JOSH ASHLEY
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Total Number of Pages in This Submission	Attorney Docket Number
	ASHLY-006A

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Charge Any Additional Fee Required, to Deposit Account No. 19-4330 <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69 and Accompanying Petition) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): -RETURN POSTCARD
---	--	--

REMARKS:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Kit M. Stetina STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	JULY 5, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 5, 2001

Typed or printed name	JOSEPHINE I. WEISSBERGER
Signature	
Date	JULY 5, 2001

† SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



ATTORNEY DOCKET: ASHLY-006A
Serial No. 09/755,498
Filed: May 15, 2001

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

- I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

- I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail No. _____ addressed to:

on July 5, 2001
(Date)

RECEIVED

JUL 12 2001

Technology Center 2100

Signature

Josephine I. Weissberger
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Transmittal Form;
2. Information Disclosure Statement Pursuant to 37 C.F.R. Section 1.97 (in triplicate); and
3. Return postcard.

Case ASHLY-006A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Josh Ashley)
Serial No.: 09/855,409) Art Unit: Unknown
Filed: May 15, 2001) Examiner: Unknown
For: RECESSED LIGHT)
FIXTURE CONVERTED) RECEIVED
TO LOWER VOLTAGE) JUL 12 2001
HALOGEN FIXTURE)

)

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. SECTION 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir/Madam:

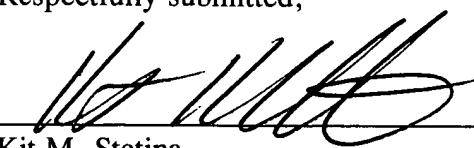
Pursuant to the Rules of Practice in patent cases, Applicant has not previously conducted a patentability search in the subject invention and is not in the possession of any relevant prior art documentation at this time.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required. If a fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: 7/5/01
Customer No. 007663

By:


Kit M. Stetina
Registration No. 29,445
STETINA BRUNDA GARRED & BRUCKER
75 Enterprise, Suite 250
Aliso Viejo, CA 92656
(949) 855-1246